CCE COMPLIANCE AND ETHICS POLICY STATEMENT

CCE values the benefit of having a strong organizational reputation based on effective Compliance & Ethics elements. This policy ensures that CCE’s reputation is maintained through our exercise of due diligence to prevent and detect inappropriate conduct and by committing to an organizational culture that encourages and rewards ethical conduct in full compliance with all applicable laws. Employees, volunteers and others representing or performing business on behalf of CCE must conduct their work:

* In accordance with the principles and values set out in CCE's Code of Conduct, CCE Conflict of Interest and other CCE policies.
* In compliance with all applicable laws, regulations, and legal requirements.
* In conformance with all CCE policies, procedures and practices.

CCE will:

Maintain policies and procedures to reflect current legal requirements.

* Identify and manage compliance risk associated with business and organizational activities.
* Investigate all material Non-Compliance events and implement corrective actions.

The CCE Executive Committee will be accountable for managing the Compliance and Ethics function within CCE. Employees, volunteers and others will report Non-Compliance events to their lead person, CCE Staff, the CCE Executive Committee or by contacting the CCE Ethics Officer at the number listed on the CCE website (calexcellence.org). The CCE Executive Committee will periodically assess the performance and effectiveness of the Compliance and Ethics processes and make improvements where necessary.